

|  |  |  |                                    |
|--|--|--|------------------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br><b>16104-021001</b> | Application No.<br><b>N/A</b>      |
|  | Applicant<br><b>Udo Klein</b>                              |  |                                    |
|  | Filing Date  | Group Art Unit<br><b>N/A 2143</b>            | <b>09/14/2007</b><br><b>/M.F./</b> |

| U.S. Patent Documents |           |                 |                  |          |       |          |                            |
|-----------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|                       | AA        |                 |                  |          |       |          |                            |

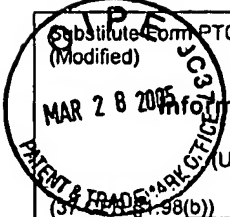
| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
|   | AB        |                 |                  |                          |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |  |
|---|-----------|--|
| Examiner Initial  | Desig. ID | Document   |
| /M.F./  | AC        | Cooper, "About Face The Essentials of User Interface Design", <u>Hungry Minds, Inc.</u> , 1995, Cover page and Pages 423-440.  |
| /M.F./  | AD        | <a href="http://www.bullseye.com/webCoverage.html">http://www.bullseye.com/webCoverage.html</a> - "Code Coverage Analysis", document stated to be last modified on October 3, 2002, printed from the Internet on December 31, 2003, 10 pages.  |
| /M.F./  | AE        | <a href="http://www.systems-advisers.com/nsgmwfm.htm">http://www.systems-advisers.com/nsgmwfm.htm</a> - "Messages Usage", printed from the Internet on December 31, 2003, 1 page.  |
| /M.F./  | AF        | <a href="http://www.ascensionlabs.com/pbca_SQLTraceFileViewer.htm">http://www.ascensionlabs.com/pbca_SQLTraceFileViewer.htm</a> - "SQL Trace File Viewer", document stated to be last modified on July 4, 2003, printed from the Internet on December 31, 2003, 2 pages.   |
| /M.F./  | AG        | <a href="http://www.tracetools.com">http://www.tracetools.com</a> - "Performance Trace Tools", document stated to be last modified on November 13, 2002, printed from the Internet on December 31, 2003, 4 pages.  |
| /M.F./  | AH        | <a href="http://www.netiq.com/products/log/advanced.asp">http://www.netiq.com/products/log/advanced.asp</a> - "WebTrends Log Analyzer Advanced Edition", printed from the Internet on December 10, 2003, 2 pages.  |
| /M.F./  | AI        | <a href="http://www.netiq.com/products/log/default.asp">http://www.netiq.com/products/log/default.asp</a> - "WebTrends Log Analyzer Series", printed from the Internet on December 10, 2003, 2 pages.  |
| /M.F./  | AJ        | <a href="http://www.flirble.org/chrisy/vmm386/tech-man/vmm-tech_10.html">http://www.flirble.org/chrisy/vmm386/tech-man/vmm-tech_10.html</a> - "System Log Daemon", printed from the Internet on December 16, 2003, 4 pages.  |
| /M.F./  | AK        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconLoggingNTApplicationServerSecurityEvents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconLoggingNTApplicationServerSecurityEvents.asp</a> - "Logging Application, Server, and Security Events", printed from the Internet on December 16, 2003, 3 pages. |
| /M.F./  | AL        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconIntroductionToEventLogComponents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconIntroductionToEventLogComponents.asp</a> - "Introduction to the EventLog Component", printed from the Internet on December 16, 2003, 2 pages.                           |
| /M.F./  | AM        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconTypesOfEventLogEntries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconTypesOfEventLogEntries.asp</a> - "Types of Event Log Entries", printed from the Internet on December 16, 2003, 1 page.  |
| /M.F./  | AN        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogreferences.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogreferences.asp</a> - "Event Log References", printed from the Internet on December 16, 2003, 2 pages.   |
| /M.F./  | AO        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogprogrammingarchitecture.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogprogrammingarchitecture.asp</a> - "EventLog Programming Architecture" printed from the Internet on December 16, 2003, 2 pages.                                   |

|  |  |
|--|--|
| Examiner Signature<br><br><b>/Mark Fearer/</b>   | Date Considered<br><br><b>09/14/2007</b> |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |

|  |  |                                       |                                       |                        |
|--|--|---------------------------------------|---------------------------------------|------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office |                                       | Attorney's Docket No.<br>16104-021001 | Application No.<br>N/A |
|  | Applicant<br>Udo Klein                                     |                                       |                                       |                        |
|  | Filing Date  | Group Art Unit<br><del>N/A</del> 2143 | 09/14/2007<br>/M.F./                  |                        |

| Other Documents (include Author, Title, Date, and Place of Publication)  |           |   |
|--|-----------|---|
| Examiner Initial   | Desig. ID | Document  |
| /M.F./   | AP        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vboricreatingdeletingadministeringeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vboricreatingdeletingadministeringeventlogs.asp</a> - "Administering Event Logs", printed from the Internet on December 16, 2003, 1 page.   |
| /M.F./   | AQ        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeletingeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeletingeventlogs.asp</a> - "Deleting Event Logs", printed from the Internet on December 16, 2003, 1 page.  |
| /M.F./   | AR        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifspecificeventlogexists.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifspecificeventlogexists.asp</a> - "Determining If Specific Event Logs Exist", printed from the Internet on December 16, 2003, 1 page.   |
| /M.F./   | AS        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingremovingcustomlogseventsources.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingremovingcustomlogseventsources.asp</a> - "Creating and Removing Custom Event Logs", printed from the Internet on December 16, 2003, 2 pages.   |
| /M.F./   | AT        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskclearingeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskclearingeventlogs.asp</a> - "Clearing Event Logs", printed from the Internet on December 16, 2003, 1 page.  |
| /M.F./   | AU        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingeventlogcomponents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingeventlogcomponents.asp</a> - "Creating EventLog Component Instances", printed from the Internet on December 16, 2003, 2 pages.   |
| /M.F./   | AV        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskconfiguringeventlogcomponents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskconfiguringeventlogcomponents.asp</a> - "Configuring EventLog Component Instances", printed from the Internet on December 16, 2003, 2 pages.  |
| /M.F./   | AW        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskaddingyourapplicationassourceofeventlogentries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskaddingyourapplicationassourceofeventlogentries.asp</a> - "Adding Your Application as a Source of Event Log Entries", printed from the Internet on December 16, 2003, 1 page.           |
| /M.F./   | AX        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifeventsourceexists.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifeventsourceexists.asp</a> - "Determining If an Event Source Exists" printed from the Internet on December 16, 2003, 1 page.   |
| /M.F./   | AY        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskremovingeventsource.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskremovingeventsource.asp</a> - "Removing an Event Source", printed from the Internet on December 16, 2003, 1 page.   |
| /M.F./   | AZ        | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskwritingentriestoeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskwritingentriestoeventlogs.asp</a> - "Writing Entries to Event Logs", printed from the Internet on December 16, 2003, 2 pages.   |
| /M.F./   | AAA       | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskreadingeventlogentries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskreadingeventlogentries.asp</a> - "Reading Event Log Entries", printed from the Internet on December 16, 2003, 1 page.  |
| /M.F./   | ABB       | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskhandlingeventwrittenevent.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskhandlingeventwrittenevent.asp</a> - "Handling the EntryWritten Event", printed from the Internet on December 16, 2003, 2 pages.   |
| /M.F./   | ACC       | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskworkingwitheventlogsinserverexplorer.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskworkingwitheventlogsinserverexplorer.asp</a> - "Working with Event Logs in Server Explorer", printed from the Internet on December 16, 2003, 1 page.   |
| /M.F./   | ADD       | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtsklaunchingeventviewer.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtsklaunchingeventviewer.asp</a> - "Launching Event Viewer", printed from the Internet on December 16, 2003, 1 page.   |
| /M.F./   | AEE       | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconsecurityramificationsofeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconsecurityramificationsofeventlogs.asp</a> - "Security Ramifications of Event Logs", printed from the Internet on December 16, 2003, 2 pages.  |
| /M.F./   | AFF       | <a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbwlkwalkthroughexploringeventlogseventsourcesentries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbwlkwalkthroughexploringeventlogseventsourcesentries.asp</a> - "Walkthrough: Exploring Event Logs, Event Sources, and Entries", printed from the Internet on December 16, 2003, 5 pages. |
| Examiner Signature   |           | Date Considered   |
| /Mark Fearer/  |           | 09/14/2007  |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |           |   |

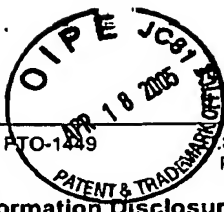
|   |   |  |                                       |                                 |
|---|---|--|---------------------------------------|---------------------------------|
|  | PTO-1449  | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>16104-021001 | Application No.<br>10/770,631   |
|   | <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary) |  |                                       | Applicant<br>Udo Klein          |
|   |   |  |                                       | Filing Date<br>February 3, 2004 |

| U.S. Patent Documents |           |                 |                  |               |       |          |                            |
|-----------------------|-----------|-----------------|------------------|---------------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee      | Class | Subclass | Filing Date If Appropriate |
| /M.F./                | AA        | 4,615,002       | 09/30/1986       | Innes         |       |          |                            |
| /M.F./                | AB        | 5,974,372       | 10/26/1999       | Barnes et al. |       |          |                            |
|                       | AC        |                 |                  |               |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
| /M.F./  | AD        | 0 735 471       | 10/02/1996       | Europe                   |       |          |             |    |
| /M.F./  | AE        | 00/029964       | 05/25/2000       | WIPO                     |       |          |             |    |
|   | AF        |                 |                  |                          |       |          |             |    |

| Other Documents (Include Author, Title, Date, and Place of Publication) |           |  |
|---|-----------|--|
| Examiner Initial  | Desig. ID | Document   |
| /M.F./  | AG        | "Quality Control Mechanism for Computer User Interface Design," <u>IBM Technical Disclosure Bulletin</u> , April, 1993, Vol. 36, No. 4, pp. 17-18. |
|   | AH        |  |

|  |                                   |
|--|-----------------------------------|
| Examiner Signature<br><br>/Mark Fearer/  | Date Considered<br><br>09/14/2007 |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                                   |

Sheet 1 of 1

|  |  |  |   |
|--|--|--|---|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br><b>16104-021001</b> | Application No.<br><b>10/770,631</b>                                  |
|  |  | Applicant<br><b>Udo Klein</b>                |   |
|  |  | Filing Date<br><b>February 3, 2004</b>       | Group Art Unit<br><b>2141 2143</b> <b>09/14/2007</b><br><b>/M.F./</b> |

| U.S. Patent Documents |           |                 |                  |                |       |          |                            |
|-----------------------|-----------|-----------------|------------------|----------------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee       | Class | Subclass | Filing Date If Appropriate |
| /M.F./                | AA        | 6,023,670       | 02/08/2000       | Martino et al. |       |          |                            |
|                       | AB        |                 |                  |                |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
| /M.F./  | AC        | 00/79415        | 12/28/2000       | WIPO                     |       |          |             |    |
|   | AD        |                 |                  |                          |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |   |
|---|-----------|---|
| Examiner Initial  | Desig. ID | Document  |
| /M.F./  | AE        | InboxShield Version 2.0, <u>Edovia Technologies</u> , June 26, 2003, obtained from the Internet at <a href="http://web.archive.org/web/20030603205922/www.edovia.com/inboxshield/index2.asp">http://web.archive.org/web/20030603205922/www.edovia.com/inboxshield/index2.asp</a> , on February 15, 2004, 5 pages. |
|   | AF        |   |

|  |  |
|--|--|
| Examiner Signature<br><br><b>/Mark Fearer/</b>   | Date Considered<br><br><b>09/14/2007</b> |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |

Substitute Disclosure Form (PTO-1449)

|  |  |  |   |
|--|--|--|---|
| Substitute Form PTO-1449<br>(Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br><b>16104-021001</b> | Application No.<br><b>10/770,631</b>  |
| <b>Supplemental Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary) |  | Applicant<br><b>Udo Klein</b>                |   |
|  |  | Filing Date<br><b>February 3, 2004</b>       | Group Art Unit <b>09/14/2007</b><br><del>2141</del> <b>2143</b> <b>/M.F./</b> |
| (37 CFR §1.98(b))  |  |  |   |

| U.S. Patent Documents |           |                 |                  |                  |       |          |                            |
|-----------------------|-----------|-----------------|------------------|------------------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee         | Class | Subclass | Filing Date If Appropriate |
| /M.F./                | AA        | 6,658,485       | 12/2003          | Baber et al.     |       |          |                            |
| /M.F./                | AB        | 6,691,175       | 02/2004          | Lodrige et al.   |       |          |                            |
| /M.F./                | AC        | 2003/0033440    | 02/2003          | Hickson et al.   |       |          |                            |
| /M.F./                | AD        | 2004/0225465    | 11/2004          | Pramanick et al. |       |          |                            |
|                       | AE        |                 |                  |                  |       |          |                            |
|                       | AF        |                 |                  |                  |       |          |                            |
|                       | AG        |                 |                  |                  |       |          |                            |
|                       | AH        |                 |                  |                  |       |          |                            |
|                       | AI        |                 |                  |                  |       |          |                            |
|                       | AJ        |                 |                  |                  |       |          |                            |
|                       | AK        |                 |                  |                  |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
|   | AL        |                 |                  |                          |       |          |             |    |
|   | AM        |                 |                  |                          |       |          |             |    |
|   | AN        |                 |                  |                          |       |          |             |    |
|   | AO        |                 |                  |                          |       |          |             |    |
|   | AP        |                 |                  |                          |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |          |
|---|-----------|----------|
| Examiner Initial  | Desig. ID | Document |
|   | AQ        |          |
|   | AR        |          |
|   | AS        |          |
|   | AT        |          |

|  |                                      |
|--|--------------------------------------|
| Examiner Signature<br><b>/Mark Fearer/</b>   | Date Considered<br><b>09/14/2007</b> |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                                      |